

# Backtracking

# Graph Operations

- Traversal (search)
  - Visit each node in graph exactly once
  - Usually perform computation at each node
  - Two approaches
    - Breadth first search (BFS)
    - Depth first search (DFS)

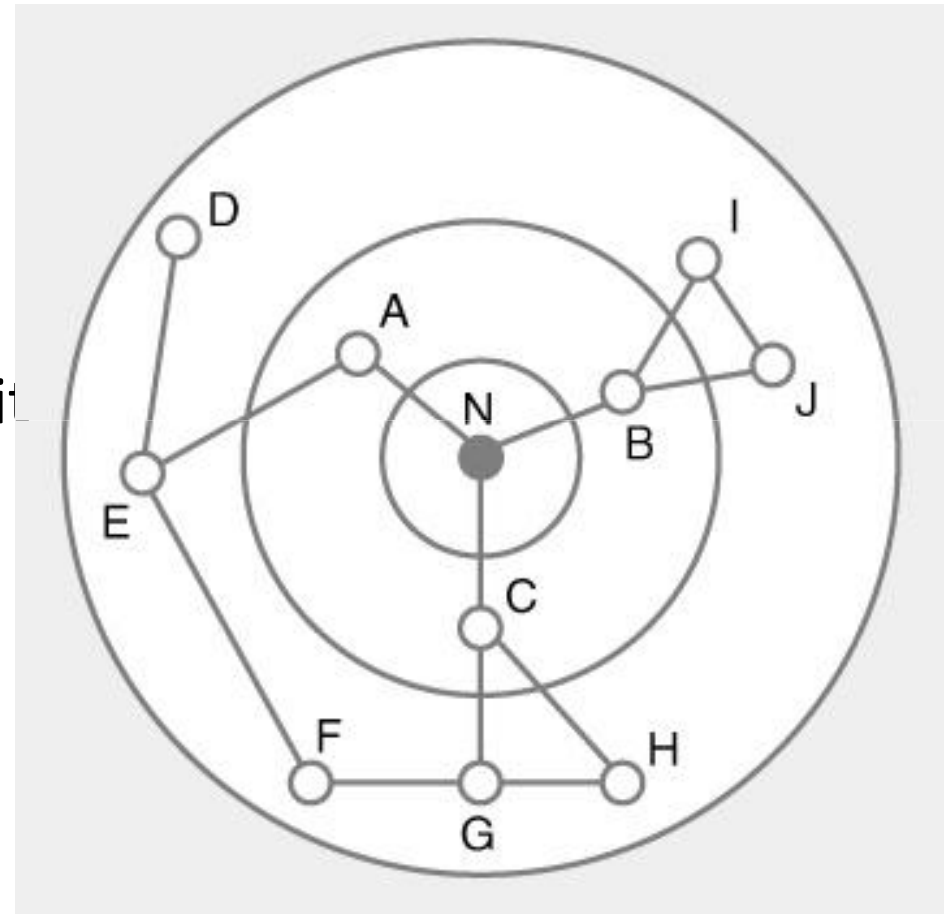
# Breadth-first Search (BFS)

## ■ Approach

- ❑ Visit all neighbors of node first
- ❑ View as series of expanding circles
- ❑ Keep list of nodes to visit in **queue**

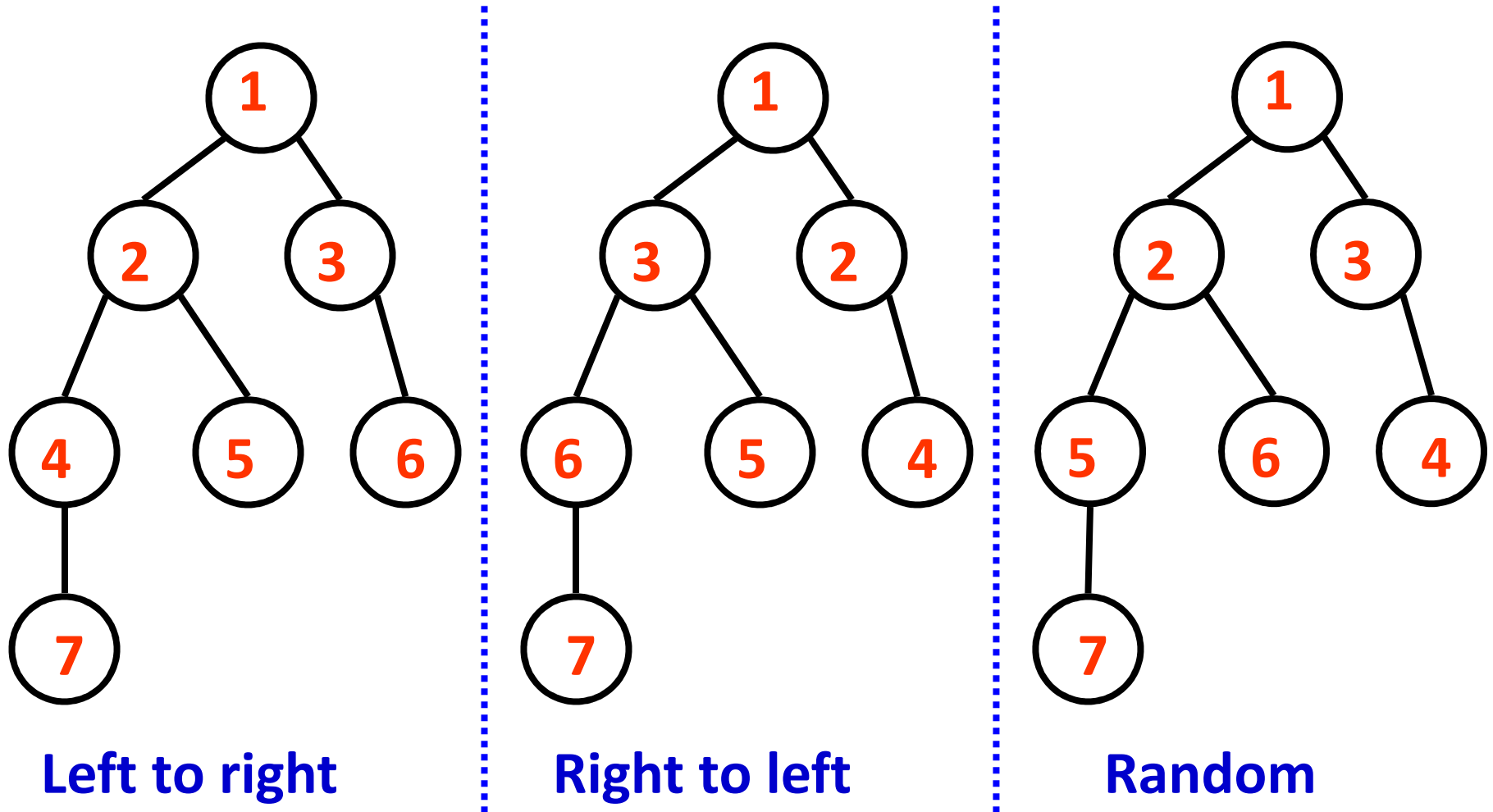
## ■ Example traversal

- 1) **n**
- 2) **a, c, b**
- 3) **e, g, h, i, j**
- 4) **d, f**



# Breadth-first Search (BFS)

## ■ Example traversals



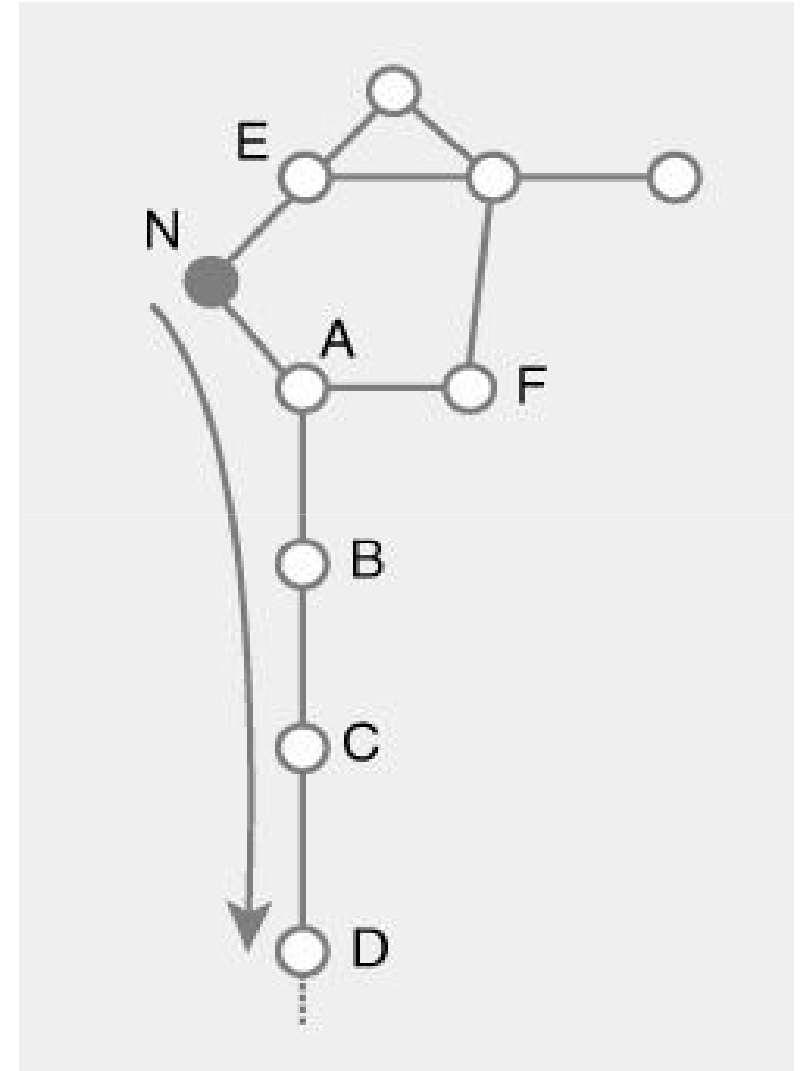
# Depth-first Search (DFS)

## ■ Approach

- ❑ Visit all nodes on path first
- ❑ Backtrack when path ends
- ❑ Keep list of nodes to visit in a **stack**

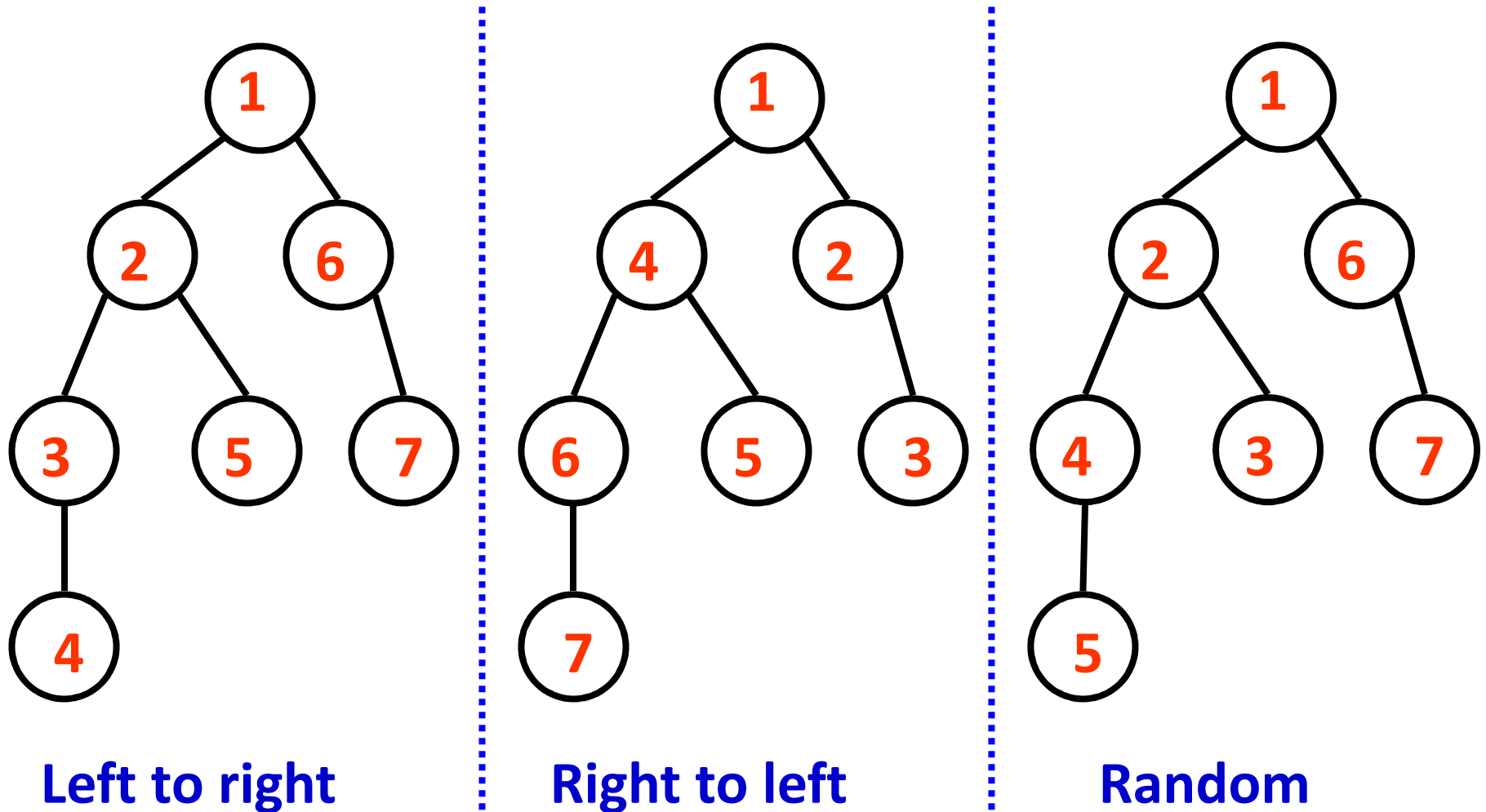
## ■ Example traversal

- 1) **n, a, b, c, d, ...**
- 2) **f ...**

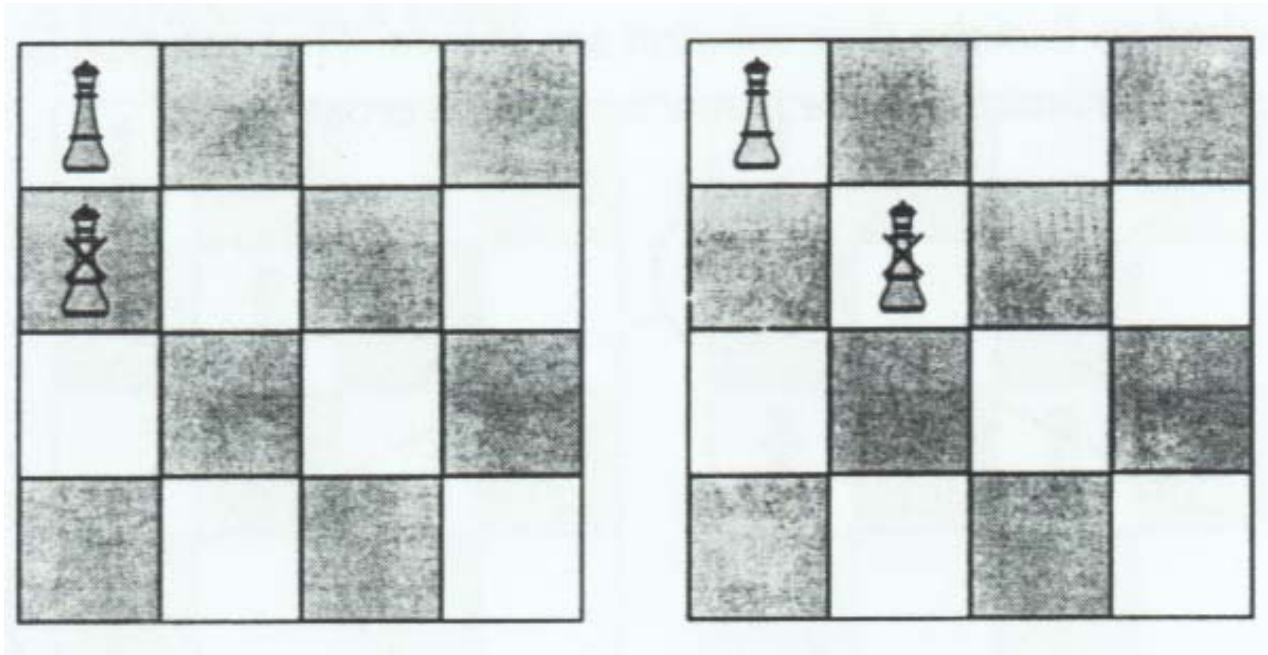


# Depth-first Search (DFS)

## ■ Example traversals



# The 4 Queens Problem



The goal of this problem is to position  $n$  queens on  $n \times n$  chessboard so that no two queens threaten each other. That is no two queens may be in the same row, column, or diagonal.

# What is backtracking?

- It is a **systematic search strategy** of the state-space of combinatorial problems
- It is mainly used to solve problems which ask for finding elements of a set which satisfy some **restrictions**. Many problems which can be solved by backtracking have the following general form:  
“ Find  $S$  subset of  $A_1 \times A_2 \times \dots \times A_n$  ( $A_k$  – finite sets) such that each element  $s=(s_1,s_2,\dots,s_n)$  satisfy some restrictions”



# What is backtracking?

## Basic ideas:

- each partial solution is evaluated in order to establish if it is **promising** (a promising solution could lead to a final solution while a **non-promising** one does not satisfy the partial restrictions induced by the problem restriction)
- if all possible values for a component do not lead to a promising partial solution then one come back to the previously component and try another value for it

- backtracking implicitly constructs a state space tree:
  - The root corresponds to an initial state (before the search for a solution begins)
  - An internal node corresponds to a promising partial solution
  - An external node (leaf) corresponds to either to a non-promising partial solution or to a final solution

# General algorithm for backtrack

Procedure checknode(v:node)

Begin

  if promising(v) then

    if there is a solution then

      write the solution

    else

      for each child u of v do

        checknode(u)

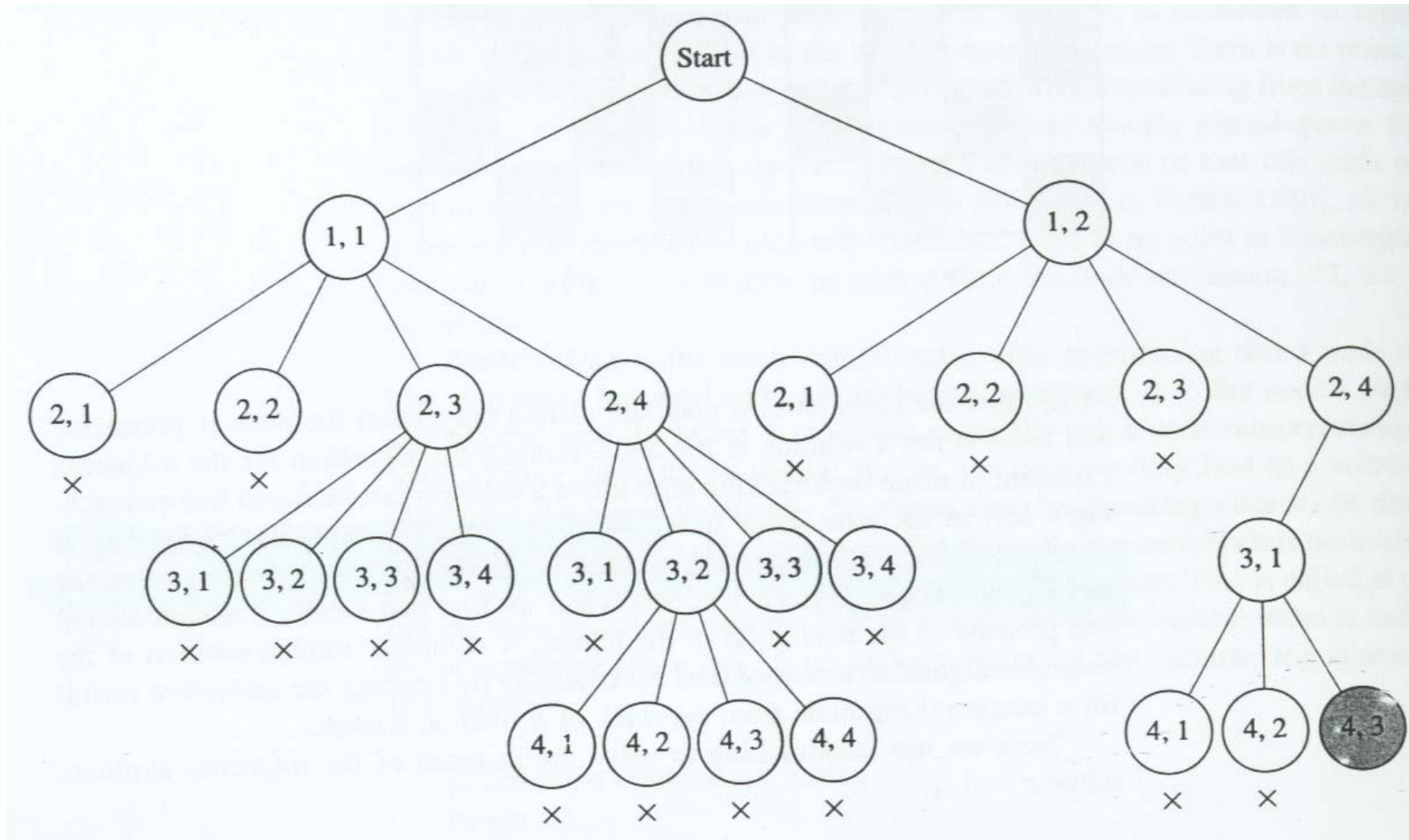
      end

    end

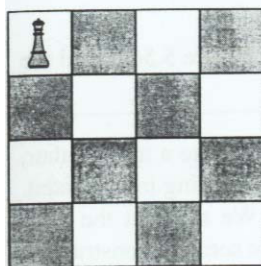
  end

end

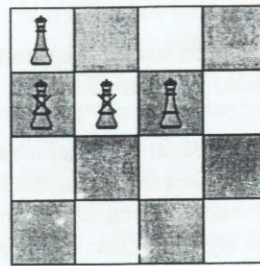
# The 4 Queens Problem



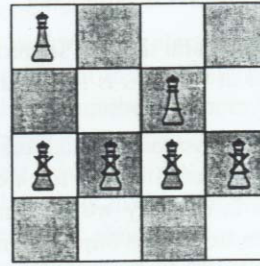
# The 4 Queens Problem



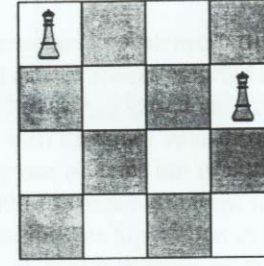
(a)



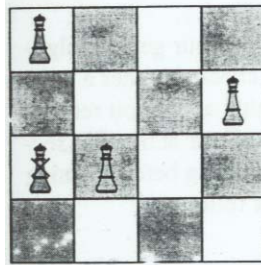
(b)



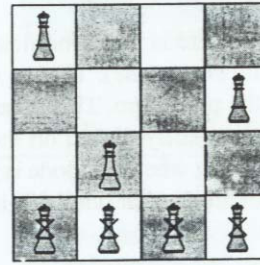
(c)



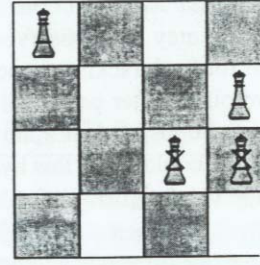
(d)



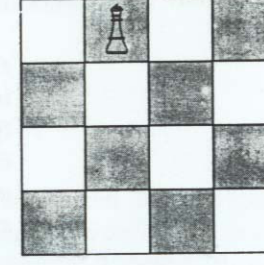
(e)



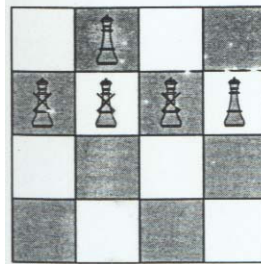
(f)



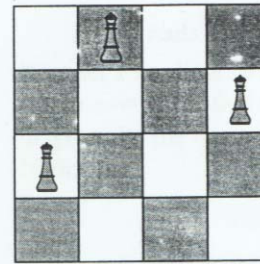
(g)



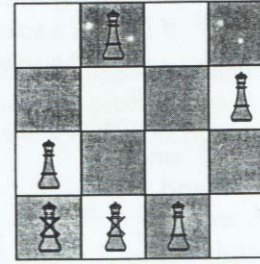
(h)



(i)

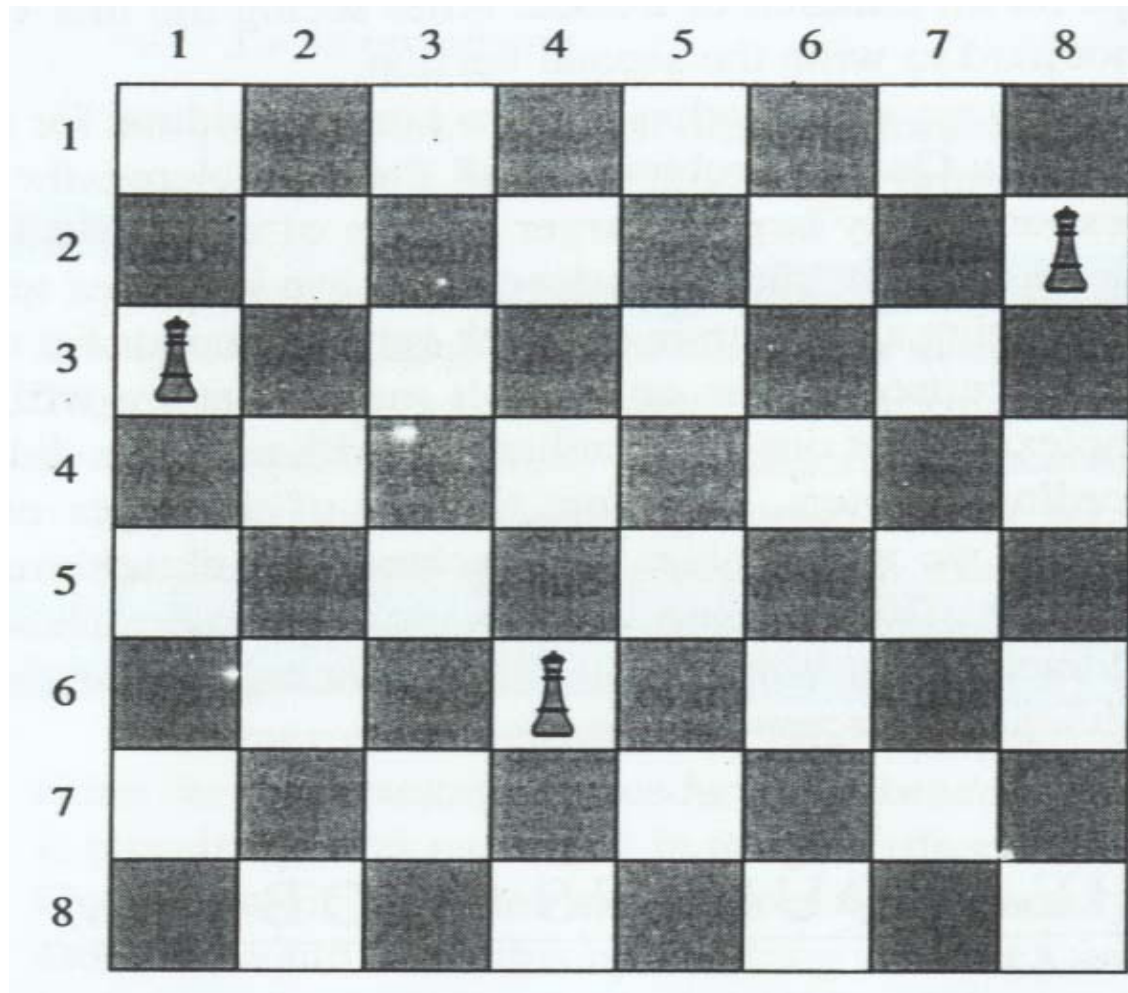


(j)



(k)

# How to check the diagonal/column ?



# How to check the diagonal/column ?

Let  $col(i)$  be the column where the queen in the  $i$ th row is located.

- Check column  $\rightarrow col(i) = col(k)$
- Check diagonal  $\rightarrow col(i) - col(k) = i - k$  or  $col(i) - col(k) = k - i$

Examples. In the figure, the queen in row 6 is being threatened in its left diagonal by the queen in row 3, and in its right diagonal by the queen in row 2.

$$col(6) - col(3) = 4 - 1 = 3 = 6 - 3$$

$$col(6) - col(2) = 4 - 8 = -4 = 2 - 6$$

# Backtracking algorithm for the $n$ queens

```
Procedure queens( $i$ :index);  
Var  $j$ :index;  
Begin  
    if promising( $i$ ) then  
        if  $i=n$  then  
            write(col[1] through col[ $n$ ])  
        else  
            for  $j:=1$  to  $n$  do  
                col[ $i+1$ ] :=  $j$ ;  
                queens( $i+1$ )  
            end  
        end  
    end  
End;
```



# Backtracking algorithm for the $n$ queens

```
function promising(i:index):boolean;  
Var k:index;  
Begin  
    k:=1;  
    promising:=true;  
    while k<i and promising do  
        if col[i]=col[k] or abs(col[i]-col[k])=i-k then  
            promising:=false  
        end  
        k:=k+1  
    end  
End;
```

tingkat	i	promising	ket	j	aksi	col			
						<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>
0	0	TRUE		1	col[i+1]=j --> col[1]=1	1			
					queens(i+1)=queens(1)				
1	1	TRUE		1	col[i+1]=j --> col[2]=1	1	1		
					queens(i+1)=queens(2)				
2	2	FALSE	col[2]=col[1]		finish 2 back to 1				
1	1			2	col[i+1]=j --> col[2]=2	1	2		
					queens(i+1)=queens(2)				
2	2	FALSE	abs(col[2]-col[1])=2-1		finish 2 back to 1				
1	1			3	col[i+1]=j --> col[2]=3	1	3		
					queens(i+1)=queens(2)				
2	2	TRUE		1	col[i+1]=j --> col[3]=1	1	3	1	
					queens(i+1)=queens(3)				
3	3	FALSE	col[3]=col[1]		finish 3 back to 2				
2	2			2	col[i+1]=j --> col[3]=2	1	3	2	
					queens(i+1)=queens(3)				
3	3	FALSE	abs(col[3]-col[2])=3-2		finish 3 back to 2				
2	2			3	col[i+1]=j --> col[3]=3	1	3	3	
					queens(i+1)=queens(3)				
3	3	FALSE	col[3]=col[2]		finish 3 back to 2				
2	2			4	col[i+1]=j --> col[3]=4	1	3	4	
					queens(i+1)=queens(3)				
3	3	FALSE	abs(col[3]-col[2])=3-2		finish 3 back to 2				
dst									

# Backtracking algorithm for the $n$ queens

- Top level call to *queens* is

***queens (0) ;***

- Total number of nodes (lower bound):

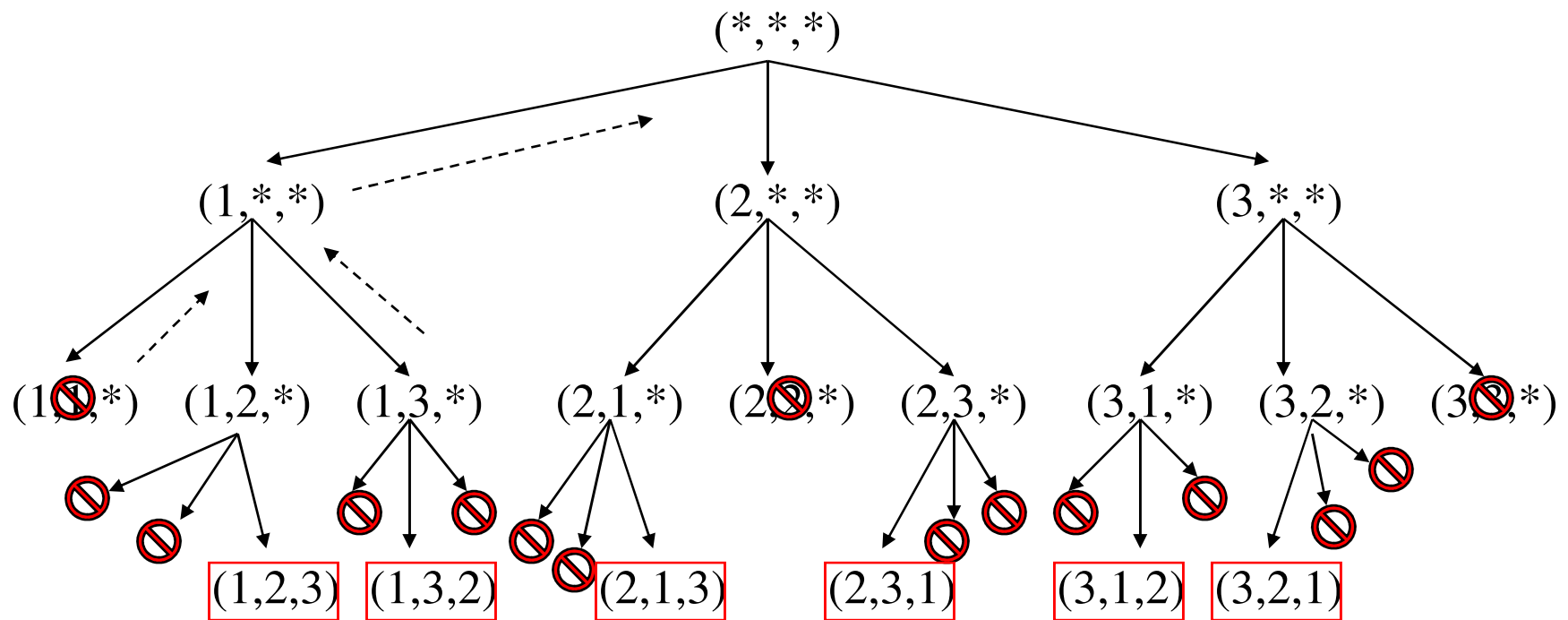
$$1 + n + n^2 + n^3 + \dots + n^n = \frac{n^{n+1} - 1}{n - 1}$$

- Upper bound ?

# The sum-of-subset Problem

- Recall the knapsack problem
- Goal : to find all the subset of integers that sum to  $W$
- Example :  
 $w_1 = 3, w_2 = 4, w_3 = 5, w_4 = 6$
- A node at the  $i$ -th level is non-promising if :  
 $\text{weight} + w_{i+1} > w$  or  
 $\text{weight} + \text{total} < W$

# Permutation Generation



# Another example

- Graph coloring
- Hamiltonian problem
- Knapsack problem