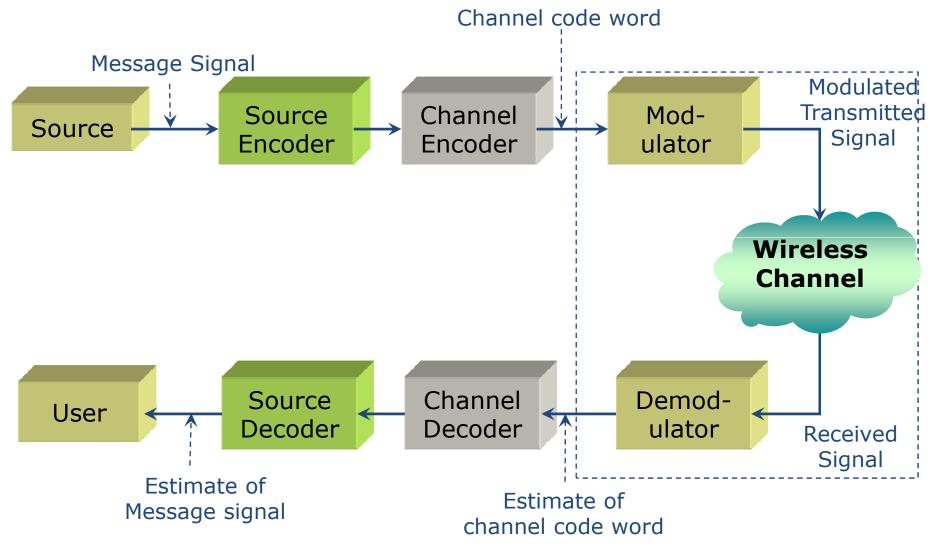


#### Wireless and Mobile Communication

# Chap 4 Antennas & Propagation Signal Encoding

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## Wireless Communication System



#### Introduction

- An antenna is an electrical conductor or system of conductors
  - Transmission radiates electromagnetic energy into space
  - Reception collects electromagnetic energy from space
- In two-way communication, the same antenna can be used for transmission and reception

#### **Antenna Evolution**

- Antennas Have Always Been the Part That Makes a Wireless Device Wireless
- Have Traditionally Been External, Connectorized Components
  - Misunderstood, considered "black magic"
  - Gangly, obtrusive
  - Added on at the end of the design
- Antennas for Mobile Devices Have Evolved Since Their Introduction
  - Whips → Retractables → Stubbies → Embedded

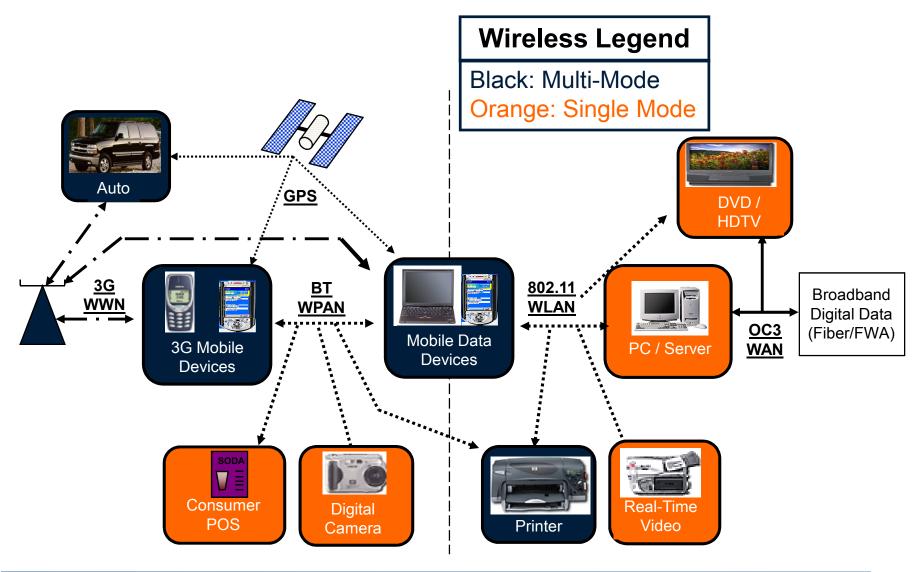






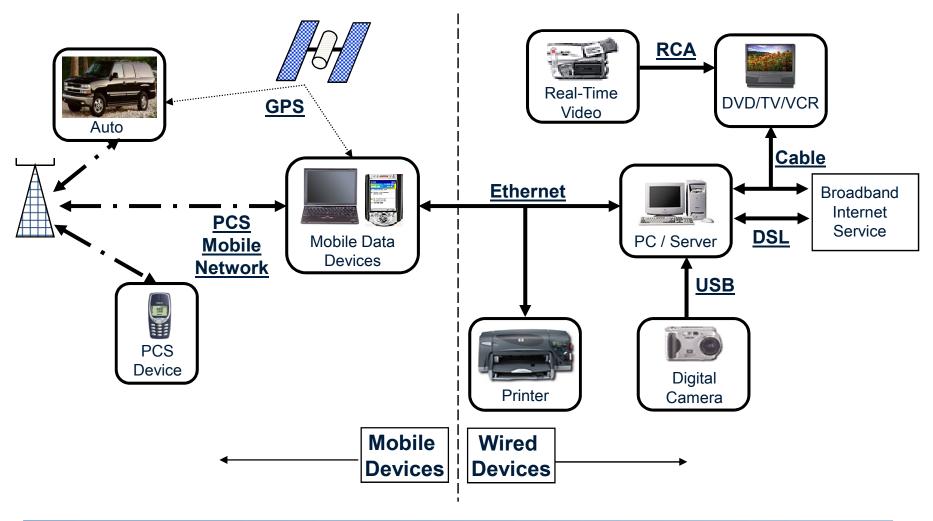


## Future of the Wired/Wireless World



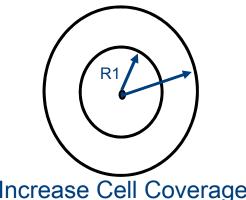


## Wired/Wireless Networks of Today

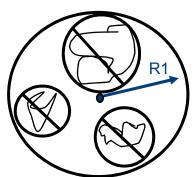


Antenna Performance

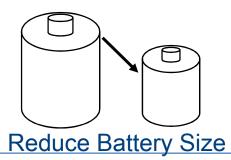
- Better Performance is Usually Achieved by Increased S/N in the Wireless Link
  - Performance improvements can be realized by higher gain antenna (if beam is properly focused)
    - Example: Want horizontal beam for cell phone, zenith beam for GPS
- Increased Gain Can be Used in Different Ways
  - Better cell coverage area
    - Increase cell size / range
    - Given all mobiles at max power, then less dropouts
  - Less battery power
    - Given strong signal area, then reduced Tx Battery
    - Especially critical in CDMA networks
  - Some combination of above



Increase Cell Coverage



Reduce Dropouts



#### **THEORY OF ANTENNA**

#### Radiation Patterns

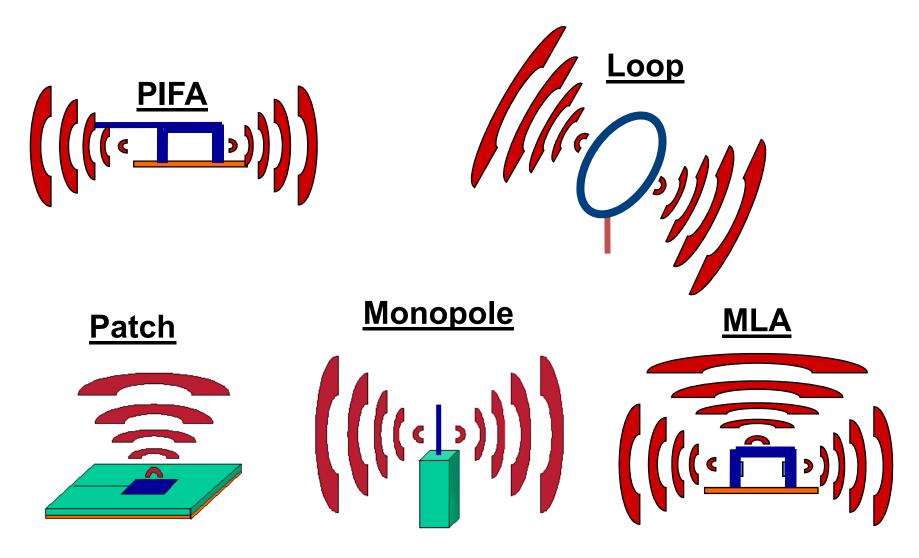
- Radiation pattern
  - Graphical representation of radiation properties of an antenna
  - Depicted as two-dimensional cross section
- Beam width (or half-power beam width)
  - Measure of directivity of antenna
  - Angle within which power radiated is at least half of that in most preferred direction
- Reception pattern
  - Receiving antenna's equivalent to radiation pattern
- Omnidirectional vs. directional antenna



## Types of Antennas

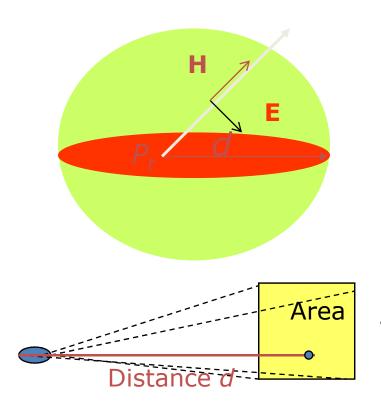
- Isotropic antenna (idealized)
  - Radiates power equally in all directions
- Dipole antennas
  - Half-wave dipole antenna (or Hertz antenna)
  - Quarter-wave vertical antenna (or Marconi antenna)
- Parabolic Reflective Antenna
  - Used for terrestrial microwave and satellite applications
  - Larger the diameter, the more tightly directional is the beam

#### Wireless Device Antenna Choices

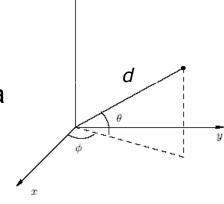


#### Antenna - Ideal

 Isotropics antenna: In free space radiates power equally in all direction. Not realizable physically



EM fields around a transmitting antenna , a polar coordinate



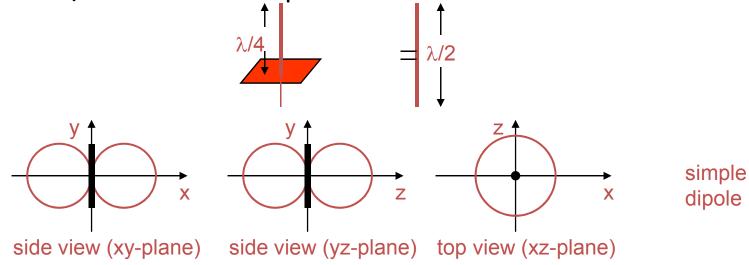
- *d* distance directly away from the antenna.
- $\phi$  is the azimuth, or angle in the horizontal plane.
- $\theta$  is the zenith, or angle above the horizon.

#### Antenna - Real

- Not isotropic radiators, but always have directive effects (vertically and/or horizontally)
- A well defined radiation pattern measured around an antenna
- Patterns are visualised by drawing the set of constant-intensity surfaces

## Antenna – Real - Simple Dipoles

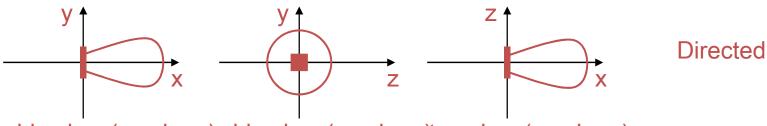
• Not isotropic radiators, e.g., dipoles with lengths  $\lambda/4$  on car roofs or  $\lambda/2$  as Hertzian dipole



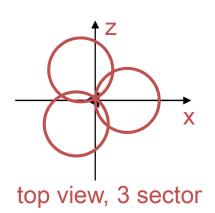
• Example: Radiation pattern of a simple Hertzian dipole shape of antenna is proportional to the wavelength

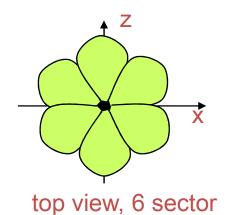
#### Antenna – Real - Sdirected and Sectorized

 Used for microwave or base stations for mobile phones (e.g., radio coverage of a valley)



side view (xy-plane) side view (yz-plane) top view (xz-plane)





Sectorized

#### **Antenna Gain**

- Antenna gain
  - Power output, in a particular direction, compared to that produced in any direction by a perfect omnidirectional antenna (isotropic antenna)
- Expressed in terms of effective area
  - Related to physical size and shape of antenna

#### **Antenna Gain**

Relationship between antenna gain and effective area

$$G = \frac{4\pi A_e}{\lambda^2} = \frac{4\pi f^2 A_e}{c^2}$$

- *G* = antenna gain
- $A_e$  = effective area
- *f* = carrier frequency
- c = speed of light ( $\approx 3 \times 10^8 \text{ m/s}$ )
- $\lambda$  = carrier wavelength

## Antenna - Ideal - contd.

• The power density of an ideal loss-less antenna at a distance *d* away from the transmitting antenna:

$$P_a = \frac{P_t G_t}{4\pi d^2}$$
 W/m

Note: the area is for a sphere.

- G<sub>t</sub> is the transmitting antenna gain
- The product  $P_tG_t$ : Equivalent Isotropic Radiation Power (EIRP)

which is the power fed to a perfect isotropic antenna to get the same output power of the practical antenna in hand.

#### Antenna - Ideal - contd.

• The strength of the signal is often defined in terms of its Electric Field Intensity *E*, because it is easier to measure.

$$P_a = E^2/R_m$$

where  $R_m$  is the impedance of the medium. For free space  $R_m$  = 377 Ohms.

$$E^{2} = \frac{P_{t}R_{m}}{4\pi d^{2}}$$
 and  $E = \sqrt{\frac{P_{t}R_{m}}{4\pi d^{2}}}$ 



## Antenna - Ideal - contd.

- The receiving antenna is characterized by its effective aperture  $A_e$ , which describes how well an antenna can pick up power from an incoming electromagnetic wave
- The effective aperture  $A_e$  is related to the gain  $G_rA_e$  follows:  $P_a => A_e = G_r\lambda^2/4\pi$

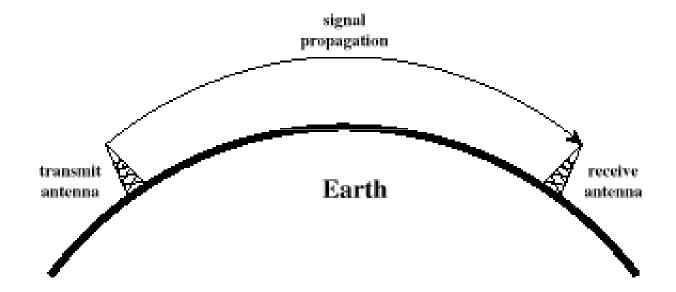
which is the equivalent power absorbing area of the antenna.

 $G_r$  is the receiving antenna gain and  $\lambda = c/f$ 

## **Propagation Modes**

- Ground-wave propagation
- Sky-wave propagation
- Line-of-sight propagation
- Non line of sight propagation

## **Ground Wave Propagation**

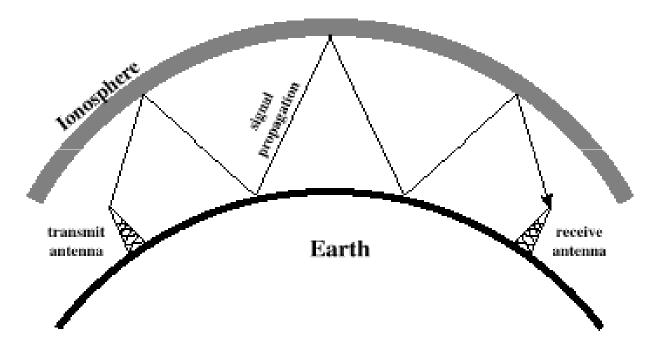


## **Ground Wave Propagation**

- Follows contour of the earth
- Can Propagate considerable distances
- Frequencies up to 2 MHz
- Example
  - AM radio



## **Sky Wave Propagation**

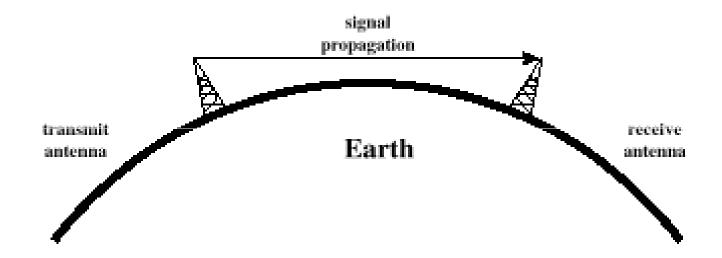


## **Sky Wave Propagation**

- Signal reflected from ionized layer of atmosphere back down to earth
- Signal can travel a number of hops, back and forth between ionosphere and earth's surface
- Reflection effect caused by refraction
- Examples
  - Amateur radio
  - CB radio

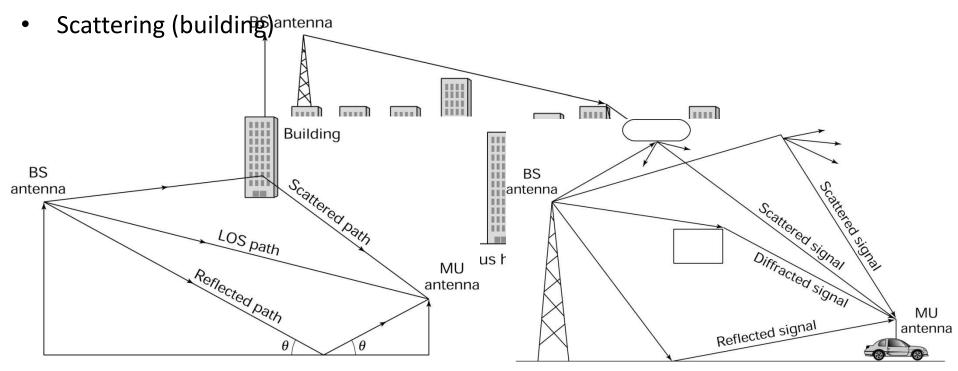


## Line-of-Sight Propagation



## Propagation Non line of sight

- Reflection (rough terrain, moving vehicle)
- Diffraction (edge of Building)



Source: P M Shankar



## Line-of-Sight Propagation

- Transmitting and receiving antennas must be within line of sight
  - Satellite communication signal above 30 MHz not reflected by ionosphere
  - Ground communication antennas within *effective* line of site due to refraction
- Refraction bending of microwaves by the atmosphere
  - Velocity of electromagnetic wave is a function of the density of the medium
  - When wave changes medium, speed changes
  - Wave bends at the boundary between mediums



## Line-of-Sight Equations

Optical line of sight

$$d = 3.57\sqrt{h}$$

• Effective, or radio, line of sight

$$d = 3.57\sqrt{Kh}$$

- d = distance between antenna and horizon (km)
- *h* = antenna height (m)
- K = adjustment factor to account for refraction, rule of thumb <math>K = 4/3

## Line-of-Sight Equations

 Maximum distance between two antennas for LOS propagation:

$$3.57\left(\sqrt{Kh_1} + \sqrt{Kh_2}\right)$$

- $h_1$  = height of antenna one
- $h_2$  = height of antenna two

# LOS Wireless Transmission Impairments

- Attenuation and attenuation distortion
- Free space loss
- Noise
- Atmospheric absorption
- Multipath
- Refraction
- Thermal noise

#### **Attenuation**

- Strength of signal falls off with distance over transmission medium
- Attenuation factors for unguided media:
  - Received signal must have sufficient strength so that circuitry in the receiver can interpret the signal
  - Signal must maintain a level sufficiently higher than noise to be received without error
  - Attenuation is greater at higher frequencies, causing distortion



Free space loss, ideal isotropic antenna

$$\frac{P_t}{P_r} = \frac{(4\pi d)^2}{\lambda^2} = \frac{(4\pi f d)^2}{c^2}$$

- $P_{t}$  = signal power at transmitting antenna
- $P_r$  = signal power at receiving antenna
- $\lambda$  = carrier wavelength
- *d* = propagation distance between antennas
- $c = \text{speed of light } (\approx 3 \times 10^8 \text{ m/s})$

where d and  $\lambda$  are in the same units (e.g., meters)

Free space loss equation can be recast:

$$L_{dB} = 10\log\frac{P_t}{P_r} = 20\log\left(\frac{4\pi d}{\lambda}\right)$$

$$= -20\log(\lambda) + 20\log(d) + 21.98 \,\mathrm{dB}$$

$$= 20\log\left(\frac{4\pi f d}{c}\right) = 20\log(f) + 20\log(d) - 147.56 \,\mathrm{dB}$$

Free space loss accounting for gain of antennas

$$\frac{P_{t}}{P_{r}} = \frac{(4\pi)^{2}(d)^{2}}{G_{r}G_{t}\lambda^{2}} = \frac{(\lambda d)^{2}}{A_{r}A_{t}} = \frac{(cd)^{2}}{f^{2}A_{r}A_{t}}$$

- $G_t$  = gain of transmitting antenna
- $G_r$  = gain of receiving antenna
- $A_t$  = effective area of transmitting antenna
- $A_r$  = effective area of receiving antenna

 Free space loss accounting for gain of other antennas can be recast as

$$L_{dB} = 20\log(\lambda) + 20\log(d) - 10\log(A_t A_r)$$
$$= -20\log(f) + 20\log(d) - 10\log(A_t A_r) + 169.54dB$$

# Categories of Noise

- Thermal Noise
- Intermodulation noise
- Crosstalk
- Impulse Noise

### Thermal Noise

- Thermal noise due to agitation of electrons
- Present in all electronic devices and transmission media
- Cannot be eliminated
- Function of temperature
- Particularly significant for satellite communication

### Thermal Noise

 Amount of thermal noise to be found in a bandwidth of 1Hz in any device or conductor is:

$$N_0 = kT (W/Hz)$$

- $N_0$  = noise power density in watts per 1 Hz of bandwidth
- $k = Boltzmann's constant = 1.3803 \times 10^{-23} J/K$
- T = temperature, in kelvins (absolute temperature)

### **Thermal Noise**

- Noise is assumed to be independent of frequency
- Thermal noise present in a bandwidth of B Hertz (in watts):

$$N = kTB$$

or, in decibel-watts

$$N = 10 \log k + 10 \log T + 10 \log B$$
$$= -228.6 \text{ dBW} + 10 \log T + 10 \log B$$

# Noise Terminology

- Intermodulation noise occurs if signals with different frequencies share the same medium
  - Interference caused by a signal produced at a frequency that is the sum or difference of original frequencies
- Crosstalk unwanted coupling between signal paths
- Impulse noise irregular pulses or noise spikes
  - Short duration and of relatively high amplitude
  - Caused by external electromagnetic disturbances, or faults and flaws in the communications system
  - Primary source of error for digital data transmission



# Expression $E_b/N_o$

Ratio of signal energy per bit to noise power density per Hertz

$$\frac{E_b}{N_0} = \frac{S/R}{N_0} = \frac{S}{kTR}$$

- The bit error rate for digital data is a function of  $E_b/N_0$ 
  - Given a value for  $E_b/N_0$  to achieve a desired error rate, parameters of this formula can be selected
  - As bit rate R increases, transmitted signal power must increase to maintain required  $E_b/N_0$

# Other Impairments

- Atmospheric absorption water vapor and oxygen contribute to attenuation
- Multipath obstacles reflect signals so that multiple copies with varying delays are received
- Refraction bending of radio waves as they propagate through the atmosphere

## Multipath Propagation

- Reflection occurs when signal encounters a surface that is large relative to the wavelength of the signal
- Diffraction occurs at the edge of an impenetrable body that is large compared to wavelength of radio wave
- Scattering occurs when incoming signal hits an object whose size is in the order of the wavelength of the signal or less



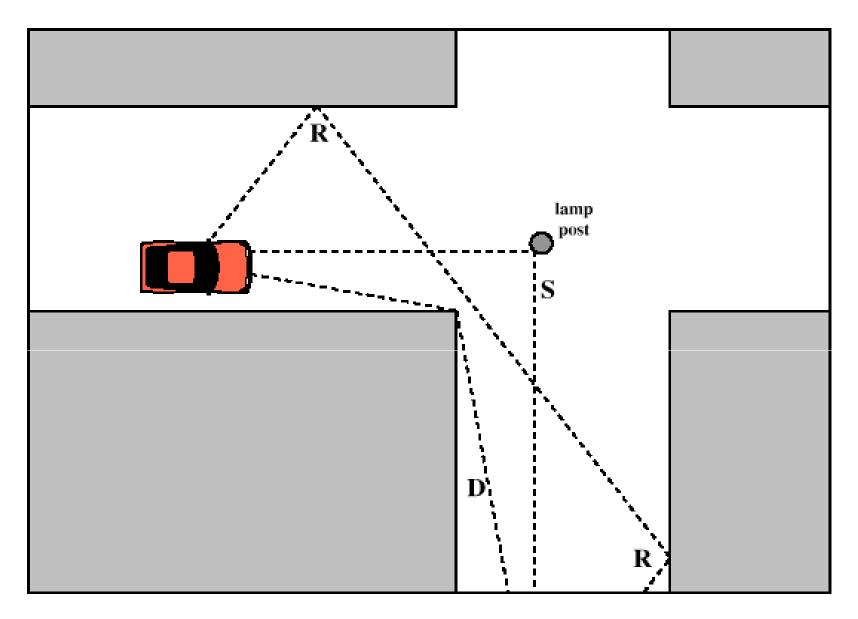


Figure 5.10 Sketch of Three Important Propagation Mechanisms: Reflection (R), Scattering (S), Diffraction (D) [ANDE95]

# Effects of Multipath Propagation

- Multiple copies of a signal may arrive at different phases
  - If phases add destructively, the signal level relative to noise declines, making detection more difficult
- Intersymbol interference (ISI)
  - One or more delayed copies of a pulse may arrive at the same time as the primary pulse for a subsequent bit

# **Fading**

- Time variation of received signal power caused by changes in the transmission medium or path(s)
- In a fixed environment:
  - Changes in atmospheric conditions
- In a mobile environment:
  - Multipath propagation

# Types of Fading

- Fast fading
- Slow fading
- Flat fading
- Selective fading
- Rayleigh fading
- Rician fading



## **Error Compensation Mechanisms**

- Forward error correction
- Adaptive equalization
- Diversity techniques



### **Forward Error Correction**

- Transmitter adds error-correcting code to data block
  - Code is a function of the data bits
- Receiver calculates error-correcting code from incoming data bits
  - If calculated code matches incoming code, no error occurred
  - If error-correcting codes don't match, receiver attempts to determine bits in error and correct

## Adaptive Equalization

- Can be applied to transmissions that carry analog or digital information
  - Analog voice or video
  - Digital data, digitized voice or video
- Used to combat intersymbol interference
- Involves gathering dispersed symbol energy back into its original time interval
- Techniques
  - Lumped analog circuits
  - Sophisticated digital signal processing algorithms



# **Diversity Techniques**

- Space diversity:
  - Use multiple nearby antennas and combine received signals to obtain the desired signal
  - Use collocated multiple directional antennas
- Frequency diversity:
  - Spreading out signal over a larger frequency bandwidth
  - Spread spectrum
- Time diversity:
  - Noise often occurs in bursts
  - Spreading the data out over time spreads the errors and hence allows
     FEC techniques to work well
  - TDM
  - Interleaving



# Signal Encoding Techniques



# Reasons for Choosing Encoding Techniques

- Digital data, digital signal
  - Equipment less complex and expensive than digital-to-analog modulation equipment
- Analog data, digital signal
  - Permits use of modern digital transmission and switching equipment

# Reasons for Choosing Encoding Techniques

- Digital data, analog signal
  - Some transmission media will only propagate analog signals
  - E.g., unguided media
- Analog data, analog signal
  - Analog data in electrical form can be transmitted easily and cheaply
  - Done with voice transmission over voice-grade lines



# Signal Encoding Criteria

- What determines how successful a receiver will be in interpreting an incoming signal?
  - Signal-to-noise ratio
  - Data rate
  - Bandwidth
- An increase in data rate increases bit error rate
- An increase in SNR decreases bit error rate
- An increase in bandwidth allows an increase in data rate



# **Comparing Encoding Schemes**

#### Signal spectrum

- With lack of high-frequency components, less bandwidth required
- With no dc component, ac coupling via transformer possible
- Transfer function of a channel is worse near band edges

#### Clocking

Ease of determining beginning and end of each bit position

# **Comparing Encoding Schemes**

- Signal interference and noise immunity
  - Performance in the presence of noise
- Cost and complexity
  - The higher the signal rate to achieve a given data rate, the greater the cost

# Digital Data to Analog Signals

- Amplitude-shift keying (ASK)
  - Amplitude difference of carrier frequency
- Frequency-shift keying (FSK)
  - Frequency difference near carrier frequency
- Phase-shift keying (PSK)
  - Phase of carrier signal shifted



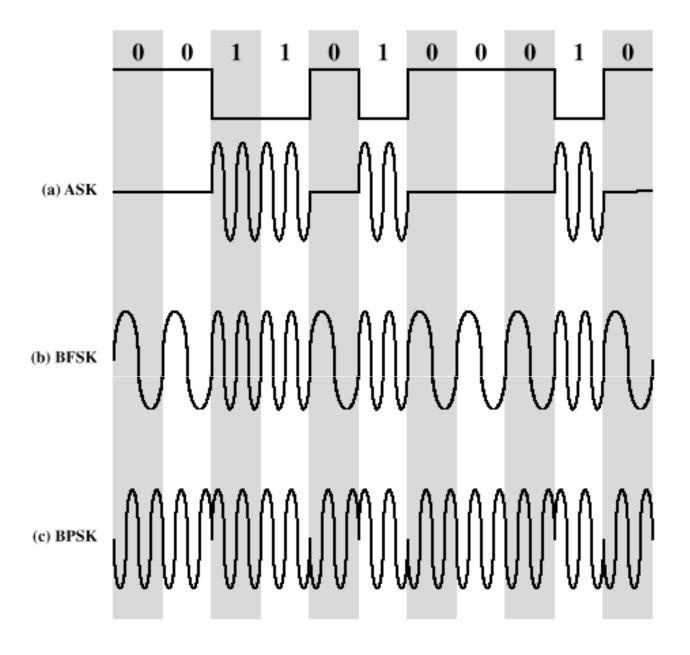


Figure 6.2 Modulation of Analog Signals for Digital Data

# Amplitude-Shift Keying

- One binary digit represented by presence of carrier, at constant amplitude
- Other binary digit represented by absence of carrier

$$s(t) = \begin{cases} A\cos(2\pi f_c t) & \text{binary 1} \\ 0 & \text{binary 0} \end{cases}$$

• where the carrier signal is  $A\cos(2\pi f_c t)$ 

# Amplitude-Shift Keying

- Susceptible to sudden gain changes
- Inefficient modulation technique
- On voice-grade lines, used up to 1200 bps
- Used to transmit digital data over optical fiber



### Binary Frequency-Shift Keying (BFSK)

 Two binary digits represented by two different frequencies near the carrier frequency

$$s(t) = \begin{cases} A\cos(2\pi f_1 t) & \text{binary 1} \\ A\cos(2\pi f_2 t) & \text{binary 0} \end{cases}$$

• where  $f_1$  and  $f_2$  are offset from carrier frequency  $f_c$  by equal but opposite amounts

### Binary Frequency-Shift Keying (BFSK)

- Less susceptible to error than ASK
- On voice-grade lines, used up to 1200bps
- Used for high-frequency (3 to 30 MHz) radio transmission
- Can be used at higher frequencies on LANs that use coaxial cable

- More than two frequencies are used
- More bandwidth efficient but more susceptible to error

$$s_i(t) = A \cos 2\pi f_i t$$
  $1 \le i \le M$ 

- $f_i = f_c + (2i 1 M)f_d$
- $f_c$  = the carrier frequency
- $f_d$  = the difference frequency
- *M* = number of different signal elements = 2 <sup>L</sup>
- *L* = number of bits per signal element

 To match data rate of input bit stream, each output signal element is held for:

$$T_s$$
=LT seconds

- where T is the bit period (data rate = 1/T)
- So, one signal element encodes L bits

Total bandwidth required

$$2Mf_d$$

- Minimum frequency separation required  $2f_d=1/T_s$
- Therefore, modulator requires a bandwidth of  $W_d=2^L/LT=M/T_s$

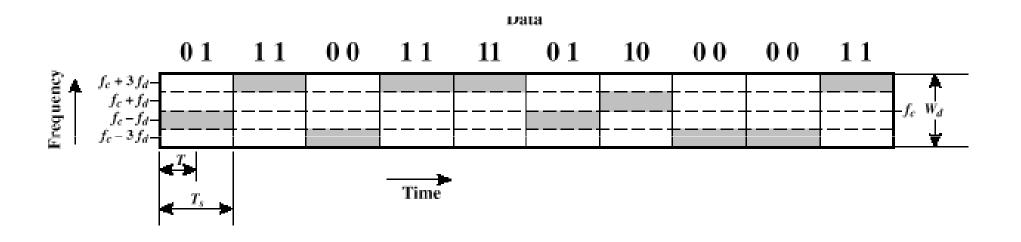


Figure 6.4 MFSK Frequency Use (M = 4)

- Two-level PSK (BPSK)
  - Uses two phases to represent binary digits

$$s(t) = \begin{cases} A\cos(2\pi f_c t) & \text{binary 1} \\ A\cos(2\pi f_c t + \pi) & \text{binary 0} \end{cases}$$
$$= \begin{cases} A\cos(2\pi f_c t) & \text{binary 1} \\ -A\cos(2\pi f_c t) & \text{binary 1} \\ -A\cos(2\pi f_c t) & \text{binary 0} \end{cases}$$

- Differential PSK (DPSK)
  - Phase shift with reference to previous bit
    - Binary 0 signal burst of same phase as previous signal burst
    - Binary 1 signal burst of opposite phase to previous signal burst

- Four-level PSK (QPSK)
  - Each element represents more than one bit

$$S(t) = \begin{cases} A\cos\left(2\pi f_c t + \frac{\pi}{4}\right) & 11\\ A\cos\left(2\pi f_c t + \frac{3\pi}{4}\right) & 01\\ A\cos\left(2\pi f_c t - \frac{3\pi}{4}\right) & 00\\ A\cos\left(2\pi f_c t - \frac{\pi}{4}\right) & 10 \end{cases}$$

#### Multilevel PSK

 Using multiple phase angles with each angle having more than one amplitude, multiple signals elements can be achieved

$$D = \frac{R}{L} = \frac{R}{\log_2 M}$$

- D = modulation rate, baud
- R = data rate, bps
- $M = \text{number of different signal elements} = 2^{L}$
- L = number of bits per signal element

#### Performance

• Bandwidth of modulated signal  $(B_T)$ 

- ASK, PSK 
$$B_{\tau}=(1+r)R$$

$$-FSK B_T = 2DF + (1+r)R$$

- *R* = bit rate
- 0 < r < 1; related to how signal is filtered
- DF =  $f_2$ - $f_c$ = $f_c$ - $f_1$

#### Performance

— MPSK

• Bandwidth of modulated signal 
$$(B_T)$$

$$B_T = \left(\frac{1+r}{L}\right)R = \left(\frac{1+r}{\log_2 M}\right)R$$
- MPSK

$$B_T = \left(\frac{(1+r)M}{\log_2 M}\right) R$$

— MFSK

- L = number of bits encoded per signal element
- *M* = number of different signal elements

## Quadrature Amplitude Modulation

- QAM is a combination of ASK and PSK
  - Two different signals sent simultaneously on the same carrier frequency

$$s(t) = d_1(t)\cos 2\pi f_c t + d_2(t)\sin 2\pi f_c t$$



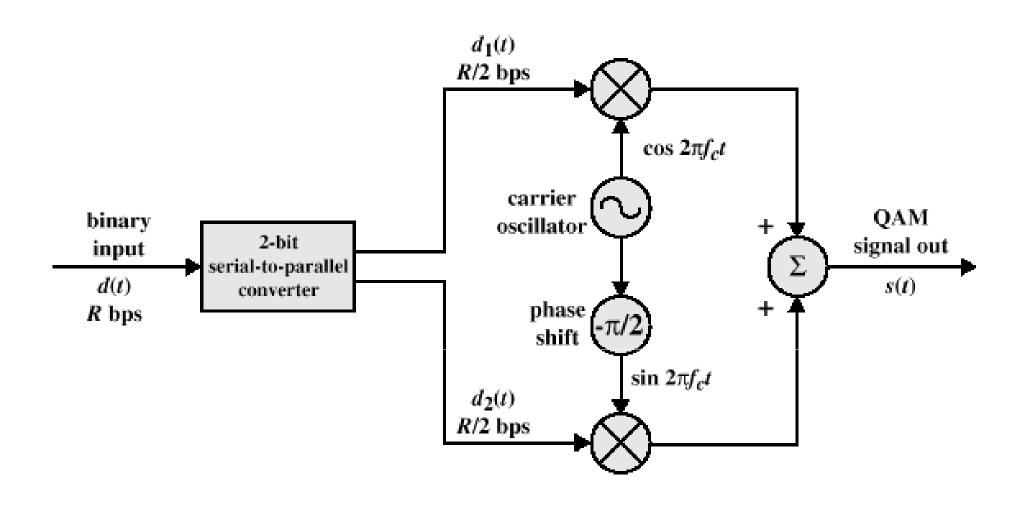


Figure 6.10 QAM Modulator

### **Analog Data to Analog Signal**

- Modulation of digital signals
  - When only analog transmission facilities are available, digital to analog conversion required
- Modulation of analog signals
  - A higher frequency may be needed for effective transmission
  - Modulation permits frequency division multiplexing

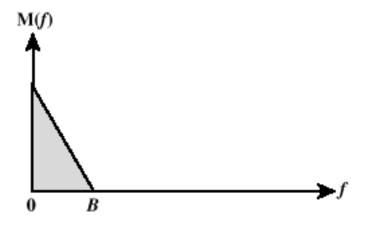
#### Mopdulation Techniques

- Amplitude modulation (AM)
- Angle modulation
  - Frequency modulation (FM)
  - Phase modulation (PM)

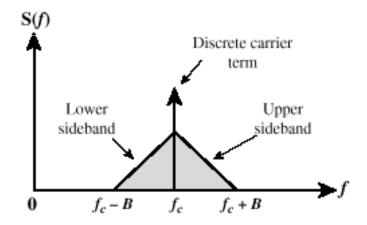
### **Amplitude Modulation**

Amplitude Modulation

- $\cos 2\pi f_c t = \text{carrier}$
- x(t) = input signal
- $n_a = \text{modulation index}^{s(t)} (\stackrel{[1+1]{cos}}{\sim} 2\pi f_c t)$ 
  - Ratio of amplitude of input signal to carrier
- a.k.a double sideband transmitted carrier (DSBTC)



(a) Spectrum of modulating signal



(b) Spectrum of AM signal with carrier at  $f_{\it c}$ 

Figure 6.12 Spectrum of an AM Signal

## **Amplitude Modulation**

• Transmitted power

$$P_t = P_c \left( 1 + \frac{n_a^2}{2} \right)$$

- $P_t$  = total transmitted power in s(t)
- $P_c$  = transmitted power in carrier

# Single Sideband (SSB)

- Variant of AM is single sideband (SSB)
  - Sends only one sideband
  - Eliminates other sideband and carrier
- Advantages
  - Only half the bandwidth is required
  - Less power is required
- Disadvantages
  - Suppressed carrier can't be used for synchronization purposes

Angle modulation

$$s(t) = A_c \cos[2\pi f_c t + \phi(t)]$$

- Phase modulation
  - Phase is proportional to modulating signal  $\phi(t) = n_p m(t)$ 
    - $n_p$  = phase modulation index

- Frequency modulation
  - Derivative of the phase is proportional to modulating signal

$$\phi'(t) = n_f m(t)$$

•  $n_f$  = frequency modulation index

- Compared to AM, FM and PM result in a signal whose bandwidth:
  - is also centered at f<sub>c</sub>
  - but has a magnitude that is much different
- Thus, FM and PM require greater bandwidth than AM

Carson's rule

$$B_T = 2(\beta + 1)B$$

where

$$\beta = \begin{cases} n_p A_m & \text{for PM} \\ \frac{\Delta F}{B} = \frac{n_f A_m}{2\pi B} & \text{for FM} \end{cases}$$

• The formula for FM becomes 2B

### **Analog Data to Digital Signal**

- Digitization: Often analog data are converted to digital form
- Once analog data have been converted to digital signals, the digital data:
  - can be transmitted using NRZ-L
  - can be encoded as a digital signal using a code other than NRZ-L
  - can be converted to an analog signal, using previously discussed techniques



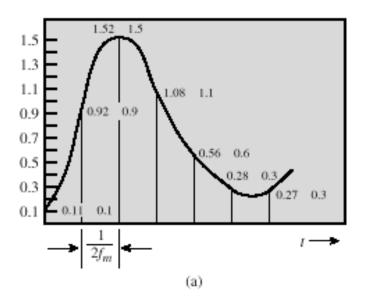
## Analog data to digital signal

- Pulse code modulation (PCM)
- Delta modulation (DM)



#### Pulse Code Modulation

- Based on the sampling theorem
- Each analog sample is assigned a binary code
  - Analog samples are referred to as pulse amplitude modulation (PAM) samples
- The digital signal consists of block of n bits, where each n-bit number is the amplitude of a PCM pulse



Digit	Binary Equivalent	PCM waveform
0	0000	
1	0001	
2	0010	
3	0011	
4	0100	
5	0101	75
6	0110	
7	0111	

Digit	Binary Equivalent	PCM waveform
8	1000	_
9	1001	4
10	1010	5
11	1011	4
12	1100	4
13	1101	4
14	1110	
15	1111	

#### Pulse Code Modulation

- By quantizing the PAM pulse, original signal is only approximated
- Leads to quantizing noise
- Signal-to-noise ratio for quantizing noise  $SNR_{dB} = 20 \log 2^n + 1.76 dB = 6.02n + 1.76 dB$

 Thus, each additional bit increases SNR by 6 dB, or a factor of 4

#### **Delta Modulation**

- Analog input is approximated by staircase function
  - Moves up or down by one quantization level ( $\delta$ ) at each sampling interval
- The bit stream approximates derivative of analog signal (rather than amplitude)
  - 1 is generated if function goes up
  - 0 otherwise



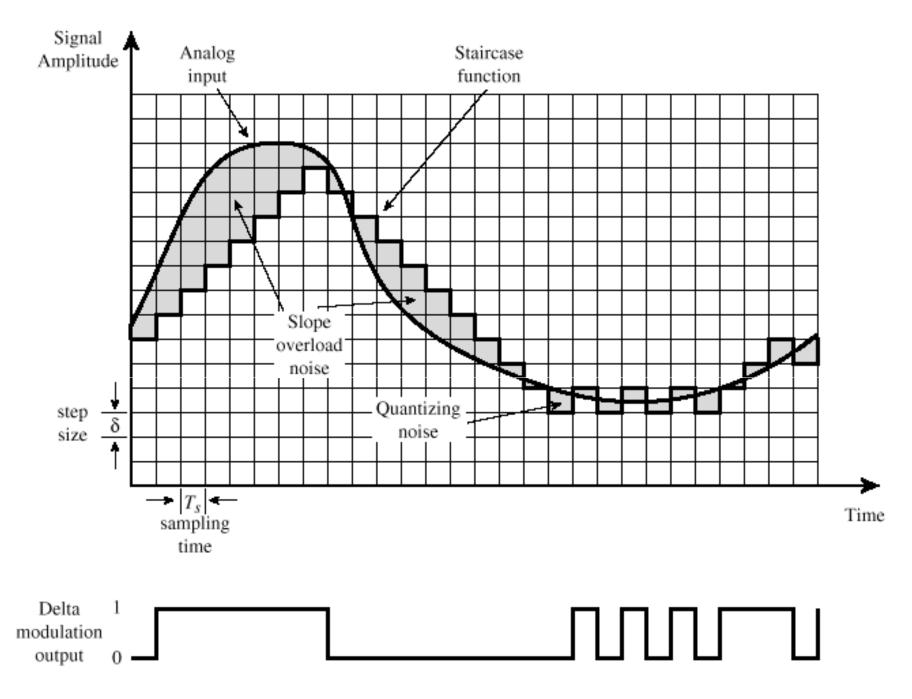


Figure 6.18 Example of Delta Modulation

#### **Delta Modulation**

- Two important parameters
  - Size of step assigned to each binary digit ( $\delta$ )
  - Sampling rate
- Accuracy improved by increasing sampling rate
  - However, this increases the data rate
- Advantage of DM over PCM is the simplicity of its implementation